

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 9

Complete if Known

Application Number	10/734,547
Filing Date	December 12, 2003
First Named Inventor	Richard C. EWERS
Art Unit	3773
Examiner Name	Julian W. Woo
Attorney Docket Number	USGINZ02511

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1.	5,176,691	01-05-1993	Pierce	
	2.	5,549,618	08-27-1996	Fleenor et al.	
	3.	5,662,654	09-02-1997	Thompson	
	4.	5,702,397	12-30-1997	Goble et al.	
	5.	5,746,752	05-05-1998	Burkhart	
	6.	5,746,755	05-05-1998	Wood et al.	
	7.	5,776,150	07-07-1998	Nolan et al.	
	8.	5,797,960	08-25-1998	Stevens et al.	
	9.	5,817,107	10-06-1998	Schaller	
	10.	5,928,260	07-27-1999	Chin et al.	
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	12.	6,036,699	03-14-2000	Andreas et al.	
	13.	6,368,338	04-09-2002	Konya et al.	
	14.	6,508,828	01-21-2003	Akerfeldt et al.	
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	17.	6,932,834	08-23-2005	Lizardi et al.	
	18.	6,986,781	01-17-2006	Smith	
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	20.	2002/0049458	04-25-2002	Singhatat	
	21.	2002/0116012	08-22-2002	May et al.	
	22.	2003/0109900	06-12-2003	Martinek	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT***(Use as many sheets as necessary)*Sheet **2** of **9****Complete If Known**

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Sheet **3** of **9****Complete if Known**

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Attorney Docket Number	USGINZ02511

81.	2006/0100579	05-11-2006	Maahs et al.	
82.	2006/0135971	06-22-2006	Swanstrom et al.	
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94.	2006/0271073	11-30-2006	Lam et al.	
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98.	2007/0142849	06-21-2007	Ewers et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	†c
		Country Code ² -Number ³ -Kind Code ² (if known)				
	99.	EP 1648279	04-26-2006	USGI Medical, Inc.		
	100.	EP 1699366	09-13-2006	USGI Medical, Inc.		
	101.	EP 1781184	05-09-2007	USGI Medical, Inc.		
	102.	EP 1804680	07-11-2007	USGI Medical, Inc.		
	103.	EP 1804683	07-11-2007	USGI Medical, Inc., et al.		
	104.	JP 2007-513717	05-31-2007	Saadat et al.		
	105.	WO 2004/041119	05-21-2004	USGI Medical, Inc.		
	106.	WO 2004/064600	08-05-2004	USGI Medical, Inc.		
	107.	WO 2004/103430	12-02-2004	USGI Medical, Inc.		
	108.	WO 2004/110285	12-23-2004	ETHICON S.P.A.		
	109.	WO 2005/011463	02-10-2005	USGI Medical, Inc.		
	110.	WO 2005/011519	02-10-2005	USGI Medical, Inc.		
	111.	WO 2005/037152	04-28-2005	SYNECOR, LLC		
	112.	WO 2005/048815	06-02-2005	USGI Medical, Inc.		
	113.	WO 2005/050971	06-02-2005	OBJECTVIDEO, Inc.		
	114.	WO 2005/058239	06-30-2005	USGI Medical, Inc.		
	115.	WO 2005/086945	09-22-2005	USGI Medical, Inc.		
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	117.	WO 2005/110244	11-24-2005	USGI Medical, Inc.		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/734,547
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				Art Unit	3773
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(Use as many sheets as necessary)				Attorney Docket Number	USGINZ02511
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118.	WO 2005/122914	12-29-2005	USGI Medical, Inc.		
119.	WO 2005/122915	12-29-2005	USGI Medical, Inc.		
120.	WO 2006/019868	02-23-2006	USGI Medical, Inc.		
121.	WO 2006/039199	04-13-2006	USGI Medical, Inc.		
122.	WO 2006/039223	04-13-2006	USGI Medical, Inc.		
123.	WO 2006/039296	04-13-2006	USGI Medical, Inc.		
124.	WO 2006/078429	07-27-2006	USGI Medical, Inc.		
125.	WO 2006/089217	08-24-2006	USGI Medical, Inc.		
126.	WO 2006/093975	09-08-2006	USGI Medical, Inc.		
127.	WO 2006/110275	10-19-2006	USGI Medical, Inc.		
128.	WO 2006/127306	11-30-2006	USGI Medical, Inc.		
129.	WO 2007/009021	01-18-2007	USGI Medical, Inc.		

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * Applicant's unique citation designation number (optional). * See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. * Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). * For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. * Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. * Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			T ²
	130.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Advisory Action mailed November 3, 2006			
	131.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Final Office Action mailed March 29, 2006			
	132.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Final Office Action mailed November 21, 2007			
	133.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Non-final Office Action mailed April 03, 2007			
	134.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Non-final Office Action mailed April 23, 2008			
	135.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Request for Continued Examination filed September 28, 2006			
	136.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Response to final Office Action filed February 15, 2008			
	137.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Response to Non-final Office Action filed August 3, 2007			
	138.	U.S. Application No. 10/612,170 filed July 01, 2003, in the name of Saadat et al., Response to Non-final Office Action filed September 23, 2008			
	139.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Appeal Brief filed February 12, 2009			

Examiner Signature	Date Considered
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/734,547
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			Art Unit	3773
			Examiner Name	Julian W. Woo
			Attorney Docket Number	USGINZ02511
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140.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Final Office Action mailed April 15, 2008	
141.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Final Office Action mailed April 17, 2007	
142.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Non-final Office Action mailed July 09, 2007	
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146.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Request for Continued Examination filed June 19, 2007	
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149.	U.S. Application No. 10/612,491 filed July 01, 2003, in the name of Saadat et al., Response to Non-final Office Action filed November 25, 2009	
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152.	U.S. Application No. 10/840,950 filed May 07, 2004, in the name of Saadat et al., Request for Continued Examination filed October 21, 2009	
153.	U.S. Application No. 10/840,950 filed May 07, 2004, in the name of Saadat et al., Supplemental IDS filed October 21, 2010	
154.	U.S. Application No. 10/840,950 filed May 07, 2004, in the name of Saadat et al., Response to Non-final Office Action filed February 4, 2009	
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157.	U.S. Application No. 10/840,950 filed May 07, 2004, in the name of Saadat et al., Supplemental IDS filed December 21, 2007	
158.	U.S. Application No. 10/840,950 filed May 07, 2004, in the name of Saadat et al., Supplemental IDS filed January 7, 2008	
159.	U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Final Office Action mailed June 25, 2008	
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161.	U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al.,	

Examiner Signature		Date Considered	
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			Filing Date	December 12, 2003	
			First Named Inventor	Richard C. EWERS	
			Art Unit	3773	
			Examiner Name	Julian W. Woo	
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		Non-final Office Action mailed March 04, 2009	
162.		U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Non-final Office Action mailed September 18, 2007	
163.		U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Request for Continued Examination filed November 25, 2008	
164.		U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Response to Non-final Office Action filed August 4, 2009	
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167.		U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Response to Restriction Requirement filed January 5, 2007	
168.		U.S. Application No. 10/840,951 filed May 07, 2004, in the name of Ewers et al., Restriction Requirement mailed September 05, 2006	
169.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Notice of Panel Decision from Pre-Appeal Brief Review mailed May 05, 2009	
170.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Final Office Action mailed August 15, 2007	
171.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Final Office Action mailed November 06, 2008	
172.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Non-final Office Action mailed February 12, 2007	
173.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Non-final Office Action mailed January 22, 2008	
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176.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Request for Continued Examination filed October 29, 2007	
177.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Response to Non-final Office Action filed December 21, 2009	
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180.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Response to Restriction Requirement filed January 8, 2007	
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182.		U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Supplemental IDS filed December 27, 2007	

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183.	U.S. Application No. 10/841,411 filed May 07, 2004, in the name of Flores et al., Supplemental IDS filed January 7, 2008	
184.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Non-final Office Action mailed December 24, 2008	
185.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Non-final Office Action mailed March 26, 2008	
186.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Response to Non-final Office Action filed June 24, 2009	
187.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Response to Non-final Office Action filed September 26, 2008	
188.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Supplemental IDS filed December 24, 2007	
189.	U.S. Application No. 10/869,472 filed June 15, 2004, in the name of Elmer et al., Supplemental IDS filed January 7, 2008	
190.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Non-final Office Action mailed December 24, 2008	
191.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Non-final Office Action mailed March 26, 2008	
192.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Response to Non-final Office Action filed January 27, 2010	
193.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Response to Restriction Requirement filed June 2, 2009	
194.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Restriction Requirement mailed April 2, 2009	
195.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Supplemental IDS filed December 26, 2007	
196.	U.S. Application No. 10/954,665 filed September 29, 2004, in the name of Ewers et al., Supplemental IDS filed January 7, 2008	
197.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Final Office Action mailed March 24, 2008	
198.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Non-final Office Action mailed April 21, 2009	
199.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Non-final Office Action mailed June 29, 2007	
200.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Request for Continued Examination filed January 26, 2009	
201.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Response to Non-final Office Action filed December 28, 2007	
202.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Response to Non-final Office Action filed September 21, 2009	
203.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Response to Restriction Requirement filed May 29, 2007	
204.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al.,	

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		Restriction Requirement mailed April 27, 2007	
205.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Supplemental IDS filed December 26, 2007		
206.	U.S. Application No. 11/036,866 filed January 14, 2005, in the name of Saadat et al., Supplemental IDS filed January 7, 2008		
207.	U.S. Application No. 11/036,946 filed January 14, 2005, in the name of Westra et al., Notice of Allowance mailed September 11, 2007		
208.	U.S. Application No. 11/036,946 filed January 14, 2005, in the name of Westra et al., Request for Continued Examination filed October 30, 2007		
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210.	U.S. Application No. 11/036,946 filed January 14, 2005, in the name of Westra et al., Restriction Requirement mailed May 30, 2007		
211.	U.S. Application No. 11/036,946 filed January 14, 2005, in the name of Westra et al., Supplemental IDS filed December 26, 2007		
212.	U.S. Application No. 11/404,423 filed April 14, 2006, in the name of Maahs et al., IDS filed October 16, 2009		
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214.	U.S. Application No. 11/404,423 filed April 14, 2006, in the name of Maahs et al., Request for Continued Examination filed October 16, 2009		
215.	U.S. Application No. 11/404,423 filed April 14, 2006, in the name of Maahs et al., Response to final Office Action filed September 14, 2009		
216.	U.S. Application No. 11/404,423 filed April 14, 2006, in the name of Maahs et al., Response to Non-final Office Action filed March 3, 2009		
217.	U.S. Application No.: 10/865,243 filed June 09, 2004, in the name of Maahs et al., Response to Non-final Office Action filed December 10, 2009		
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219.	U.S. Application No.: 10/865,243 filed June 09, 2004, in the name of Maahs et al., Supplemental IDS filed December 10, 2009		
220.	U.S. Application No.: 11/179,082 filed July 11, 2005, in the name of Ewers et al., Response to Non-final Office Action filed June 25, 2008		
221.	U.S. Application No.: 11/179,082 filed July 11, 2005, in the name of Ewers et al., Response to Non-final Office Action filed October 13, 2009		
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224.	U.S. Patent Application No. 10/840,950 filed May 7, 2004 in the name of Saadat et al., Final Office Action mailed April 21, 2009.		
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Examiner Signature		Date Considered	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/734,547	
			Filing Date	December 12, 2003	
			First Named Inventor	Richard C. EWERS	
			Art Unit	3773	
			Examiner Name	Julian W. Woo	
Sheet	9	of	9	Attorney Docket Number	USGINZ02511

226.	U.S. Patent Application No. 10/840,950 filed May 7, 2004 in the name of Saadat et al., Non-final Office Action mailed September 4, 2008.	
227.	U.S. Patent Application No. 10/840,951 filed May 7, 2004 in the name of Ewers et al., Final Office Action mailed December 10, 2009.	
228.	U.S. Patent Application No. 10/869,472 filed June 15, 2004 in the name of Elmer et al., Final Office Action mailed October 28, 2009.	
229.	U.S. Patent Application No. 10/954,665 filed September 29, 2004 in the name of Ewers et al., Non-final Office Action mailed August 27, 2009.	
230.	U.S. Patent Application No. 11/036,866 filed January 14, 2005 in the name of Saadat et al., Final Office Action mailed December 22, 2009.	
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233.	U.S. Patent Application No. 11/404,423 filed April 14, 2006 in the name of Maahs et al., Final Office Action mailed June 16, 2009.	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

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